18 Compliance Assistance and Regulatory Accountability Training





18 = MAP-21 Compliance Review within next 18 months

Compliance Assistance and Regulatory Accountability

raining

Objectives

Compliance Review Investigation

- □ Learn how to prepare for, and understand what to expect during a Compliance Review Investigation
- Steps they can take to improve their safety performance

Safety Culture

Deliver effective and measurable procedures a carrier can implement to sustain a safety culture

Current Events

□ Update on changes impacting the industry

Partnerships

Demonstrate working relationship between State and Federal Agencies, Pennsylvania Bus Association, and others







Date: January 25, 2018

Time: 8:30am - 3:30pm Location: PEMA Headquarters Bidg. 1310 Elimerton Ave. Harrisburg, PA 17110

U.S. Department of Transportation

Federal Motor Carrier Safety Administration

Contact Information: Phone: 717-614-4060 Fax: 717-614-4066 Email: mcpaoff@dot.gov www.fmcsa.dot.gov

INVITATION PROCESS

- Initial contact was made with a "Save the Date" postcard mailed out 6 weeks prior to the workshop
- Individualized invitations were mailed approximately 4 weeks before
- Carriers were asked to RSVP two weeks prior
- Finally email reminder was sent to all carriers who had not RSVP'd approximately two week prior to the workshop





U.S. Department of Transportation Federal Motor Carrier Safety Administration Pennsylvania Division 215 Limekiln Road, Suite 200 New Cumberland, PA 17070 717-614-4060

December 12, 2018

DAVID THOMAS TOURS INC 14005 MCNULTY ROAD PHILADELPHIA, PA 19154

Towards Zero Deaths

Motorcoach 18-C.A.R.A.T. Workshop

Dear DAVID THOMAS TOURS INC dba DAVID THOMAS TRAILWAYS:

The Federal Motor Carrier Safety Administration (FMCSA) Pennsylvania Division is hosting an educational "Motor Coach 18-CARAT" Workshop for motorcoach carriers. This Motor Coach 18-CARAT Workshop is intended to provide Compliance Assistance and Regulatory Accountability Training (CARAT) for motorcoach carriers who are scheduled for a Compliance Review Investigation over the next 18 months.

FMCSA is partnering with the Pennsylvania State Police and the Pennsylvania Bus Association to hold this educational workshop with the goal of providing attendees the knowledge and tools to improve its company's safety posture. Carriers will learn how to prepare for, and understand what to expect during a Compliance Review Investigation, along with steps they can take to improve their safety performance.

The Motor Coach 18-CARAT Workshop is by invitation only and will be personalized for Pennsylvania based motorcoach carriers like your company, who are scheduled for a mandatory Compliance Review Investigation within the next 18 months. Enclosed is a draft copy of the agenda for the workshop.

The FMCSA will be hosting the Motor Coach 18-CARAT Workshop on January 23, 2019, from 8:00 am to 4:00 pm at the Pennsylvania Emergency Management Agency (PEMA) Headquarters building located at 1310 Elmerton Avenue, Harrisburg, PA 17110. There is no cost for attending and visitor parking is free.

To RSVP for this event, you must contact us at 717-614-4060 or send an email to MCPAOFF@dot.gov; at which time we will send you a registration link.

You must register by January 9, 2019, via the link we will provide you.

- Workshop by invitation only
- Personalized for Pennsylvania based motorcoach carriers
- Those scheduled for a mandatory Compliance Review Investigation within the next 18 months



Topics Covered

- Compliance Review & ADA Requirements
- Roadside Data & Driver Violations
 - Traffic Enforcement Statewide Details
- > Insurance Representative
- > Electronic Logging Devices
- > Questions & Answers





Compliance Assistance and Regulatory Accountability Training (CARAT)

January 8, 2020

Pennsylvania Emergency Management Agency (PEMA) Headquarters 1310 Elmerton Ave Harrisburg, PA 17110

Register & Sign In (Room 112) 8:00am-8:30am Note: Entry into the building before 8:00am is not permitted Compliance Investigations & Safety Regulations 8:30am - 10:30am Speakers: Safety Investigator Michael Appolonia Preparing for a Compliance Review Safety Investigator Daniel Woodruff Overview of FMCSRs for Motorcoach Carrier FMCSA Pennsylvania Division Operations Motorcoach Investigations: Top 10 Violations Online Motor Carrier Safety Planner Break 10:30am – 10:45am Speaker Transportation Security Administration Initiatives 10:45am - 11:30pm Jeffrey Lancaster TSA Bus Industry Security Presentation Passenger & Employee Safety Tips Inspector TSA Philadelphia, PA Lunch **On Your Own** 11:30am - 12:30pm Pennsylvania State Police's Approach to Safety Speaker: 12:30pm – 1:30pm Doug Kemp ELD: Driver Requirements at the Roadside MCSAP Program Administrator Overview of PSP CMV Safety Program Roadside Data & Driver Violations Traffic Enforcement Statewide Details ٠ Drug and Alcohol Clearinghouse 1:30pm - 2:30pm Speakers: Safety Investigator Michael Appolonia Timeline and Milestones Safety Investigator Daniel Woodruff User Registration for the Clearinghouse FMCSA Pennsylvania Division Using the Clearinghouse ٠



Compliance Assistance and Regulatory Accountability Training (CARAT)

2:45pm – 3:30pm	 ADA Requirements and Regulation Updates Americans with Disability Act Requirements ELD Update: Common Challenges and Solutions Bus Lease and Interchange Final Rule NPRM: Passenger Carrier No-Defect Driver Vehicle Inspection Reports Regulatory Guidance: Passenger Endorsement 	Speakers: Safety Investigator Michael Appolonia Safety Investigator Daniel Woodruff FMCSA Pennsylvania Division
3:30pm – 4:00pm	Q & A Session and Wrap Up	Speakers: All





January 2018 Workshop

- * 56 Carriers Invited
- * 39 Carriers Attend (70%)
- * 69 Total Attendees

January 2019 Workshop

- * 42 Carriers Invited
- * 22 Carriers Attended (52%)
- * 33 Total Attendees

Benefits Motor Coach 18-CARAT Workshop

They know about "It"

 MAP-21 requirement widely known within the industry so Leverage the Opportunity

Results?

 Ability to Monitor Results (Assess CRs Investigation involving those who attended vs non-attendees) need to wait till 18 month period expires

Benefits Motor Coach 18-CARAT Workshop

Fiscal Year	# Cases	Amount Settled	Average
2014	15	\$190,270	\$12,685
2015	14	\$100,520	\$7,180
2016	18	\$121,730	\$6,763
2017	20	\$189,390	\$9,469
2018	7	\$95,980	\$13,711
2019	3	\$8,000	\$2,666



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Benefits Motor Coach 18-CARAT Workshop

- Continue to foster relationship with motorcoach industry and not just those who are association members
- Better understanding of ADA & FMCSRs = Safer Motorcoach Carriers
- Partnerships: state/federal/private
- Timely Topics
 - Real ID
 - ELDs
 - D/A Clearinghouse



Motor Carrier Safety Administration eral

How far did you travel to attend the 18-CARAT Workshop?





Carrier Safety Administration

As you reflect on the materials and presentations provided throughout the 18-CARAT Workshop, what topics did you find most helpful?

- Compliance Review & ADA Requirements
- Good overall balance of information no mater what your level of experience
- Materials that were supplied by the compliance review presenters
- FMCSA including checklist
- Discussion on AOBRD and the upcoming switch to ELD
- Updates to current requirements. Attending with the correct state of mind should yield anyone excellent results. Very helpful and always appreciate the cooperation between the Federal, State Govt and PBA!
- Legal Perspective



As you reflect on the materials and presentations provided throughout the 18-CARAT Workshop, what <u>topics did you</u> find most helpful?



- This is my second event and BOTH have been excellent! I find them VERY useful! Thank you ALL
- Updates to Compliance Review information that I was unaware of the specifics of was very helpful. Also the insurance liability
- Information and the need to update Company Policies
- Compliance review and the Pa State Police Approach to Safety
- Previously, I felt prepared for our first ELD Audit. Now I feel even more comfortable after being able to ask questions to an FMCSA personnel

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Additional comments/suggestions



- It was very interested and helpfully for small operator as us
- I hope these type of open communication continues and I think FMCSA to be commended for bringing this workshop to the carriers.
- Due to time constraints, PA Inspection segment on ELD road side inspections was rushed. We missed the topics after 1pm because we had to leave early.
- Hope they can continue
- I thought all of the material presented was useful.
- I had to drive 400 miles. Maybe these could be recorded? It was still worth the drive

Additional comments/suggestions



- Just Coffee all day would have made my day 200% Complete. Now I know to bring coffee.
- Suggest speakers use a PA system or some sort of microphone because some topics came through a bit faint but clear.
- Need coffee. I would probably have eaten a doughnut as well. That is all I can come up with so as you can see, an EXCELLENT Opportunity and Very Beneficial!
- Would love to attend similar workshops quarterly or bi-annually.